



Date: 30.01.2023

To,  
BSE Limited  
P. J. Towers, 1st Floor  
Dalal Street, Mumbai - 400001

**Sub: SDD compliance certificate for the quarter ended on 30.01.2023**

Dear Sir,

Pursuant to regulation 3(5) and 3(6) of SEBI (Prohibition of insider trading) regulation 2015,  
Please find enclosed herewith the SDD Compliance certificate for the third quarter ended on  
31.12.2022

Kindly take the same on record

Thanking You,  
Yours faithfully,  
For M/s Rajputana investment & Finance Limited

VILLADAT Digitally signed by  
VILLADATH VINITHA  
Date: 2023.01.30  
18:33:38 +05'30'  
H VINITHA

Villadath Vinitha  
Company Secretary & Compliance officer



**Rajputana Investment & Finance Limited**  
**Reg. Address:** Flat No. 601, 6th Floor, Nanda Tower, 90, Phears Lane, Kolkata - 700 012  
**Corporate Office Address:** 8/82/3, BRD Complex, Kozhikode Road, Parempadam  
Kunnamkulam Via, Thrissur Dist. Kerala-680 519  
**CIN:** L50100WB1941PLC010731 | **Email Id:** info@rajputanainvestment.com  
**Website:** www.rajputanainvestment.com | **Ph No:** 91+ 7593818458



**COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 31<sup>st</sup> DECEMBER 2022**  
**(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)**

I, Villadath Vinitha, Compliance Officer, have examined the following compliance requirement of Rajputana Investment & Finance Limited (Company) and certify that the Company has maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations):

<b>Sr. No</b>	<b>Compliance Requirement</b>	<b>Yes/No</b>	<b>Observation/ Remark</b>
1	The Company has a Structured Digital Database in place	Yes	
2	Control exists as to who can access the SDD	Yes	
3	All the UPSI disseminated in the previous quarter have been captured in the Database	Yes	
4	The system has captured nature of UPSI along with date and time	Yes	
5	The database has been maintained internally and an audit trail is maintained	Yes	
6	The database is non-tamperable and has the capability to maintain the records for 8 years	Yes	

The number of days for which non-compliance was observed: nil

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Further I also confirm that the Company was required to capture 3 numbers of events during the third quarter ended and has captured 3 numbers of the said required events.

For M/s Rajputana investment & Finance Limited

**VILLADATH**  
**VINITHA**

Digitally signed by VILLADATH  
VINITHA  
Date: 2023.01.30 19:16:07 +05'30'

Villadath Vinitha

Company Secretary & Compliance officer

Membership No: A59401

Date: 30.01.2023

Place: Thrissur

Note: The attached certificate should cover events which have been disclosed by the listed entity to the stock exchange so that the secretarial auditor will not be exposed to UPSI.

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